

**This Page Is Inserted by IFW Operations  
and is not a part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup>:</b> <b>A61K 9/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 97/03649</b> <b>(43) International Publication Date:</b> 6 February 1997 (05.02.97)
<b>(21) International Application Number:</b> PCT/GB96/01783 <b>(22) International Filing Date:</b> 24 July 1996 (24.07.96) <b>(30) Priority Data:</b> 9515182.5 24 July 1995 (24.07.95) GB <b>(71) Applicant (for all designated States except US):</b> CO-ORDINATED DRUG DEVELOPMENT LTD. [GB/GB]; 30 Gay Street, Bath BA1 2PA (GB). <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> STANFORTH, John, Nicholas [GB/GB]; High Trees, 170 Bloomfield Road, Bath BA2 2ST (GB). <b>(74) Agents:</b> BARDON, Julian, Eason et al.; Abel & Imray, Northumberland House, 303-305 High Holborn, London WC1V 7LH (GB).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> IMPROVEMENTS IN AND RELATING TO POWDERS FOR USE IN DRY POWDER INHALERS		
<b>(57) Abstract</b>  A powder for use in a dry powder inhaler comprises active material and additive material. The additive material comprises an anti-adherent material and the powder includes at least 60 % by weight of active material. The inclusion of the additive material in the powder has been found to give an increased respirable fraction of the active material.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

- 1 -

Improvements in and relating to powders for use in dry  
powder inhalers.

This invention relates to powders for use in dry powder inhalers.

- 5 Inhalers are well known devices for administering pharmaceutical products to the respiratory tract by inhalation. Inhalers are widely used particularly in the treatment of diseases of the respiratory tract.

- There are a number of types of inhaler currently  
10 available. The most widely used type is a pressurised metered dose inhaler (MDI) which uses a propellant to expel droplets containing the pharmaceutical product to the respiratory tract. Those devices are disadvantageous on environmental grounds as they often use CFC  
15 propellants, and on clinical grounds related to the inhalation characteristics of the devices.

- An alternative device to the MDI is the dry powder inhaler. The delivery of dry powder particles of pharmaceutical products to the respiratory tract presents  
20 certain problems. The inhaler should deliver the maximum possible proportion of the active particles expelled to the lungs, including a significant proportion to the lower lung, preferably at the low inhalation capabilities to which some patients, especially asthmatics, are  
25 limited. It has been found, however, that, when currently available dry powder inhaler devices are used, in many cases only about 10% of the active particles that leave the device on inhalation are deposited in the lower lung. More efficient dry powder inhalers would give  
30 clinical benefits.

- The type of dry powder inhaler used is of significant importance to the efficiency of delivery over a range of airflow conditions of the active particles to the respiratory tract. Also, the physical properties of  
35 the powder used affect both the efficiency and reproducibility of delivery of the active particles and

- 2 -

the site of deposition in the respiratory tract.

On exit from the inhaler device, the active particles should form a physically and chemically stable aerocolloid which remains in suspension until it reaches a conducting bronchiole or smaller branching of the pulmonary tree or other absorption site preferably in the lower lung. Once at the absorption site, the active particle should be capable of efficient collection by the pulmonary mucosa with no active particles being exhaled from the absorption site.

The size of the active particles is particularly important. For effective delivery of active particles deep into the lungs, the active particles should be small, with an equivalent aerodynamic diameter substantially in the range of 0.1 to 5 $\mu$ m, approximately spherical and monodispersed in the respiratory tract. Small particles are, however, thermodynamically unstable due to their high surface area to volume ratio, which provides significant excess surface free energy and encourages particles to agglomerate. In the inhaler, agglomeration of small particles and adherence of particles to the walls of the inhaler are problems that result in the active particles leaving the inhaler as large stable agglomerates or being unable to leave the inhaler and remaining adhered to the interior of the inhaler.

The uncertainty as to the extent of formation of stable agglomerates of the particles between each actuation of the inhaler and also between different inhalers and different batches of particles, leads to poor dose reproducibility.

In an attempt to improve that situation, dry powder for use in dry powder inhalers often include coarse carrier particles mixed with fine particles of active material. The active particles adhere to the surfaces of the carrier particles whilst in the inhaler device, and are dispersed on inhalation into the respiratory tract to

- 3 -

give a fine suspension. The carrier particles are often large particles greater than  $90\mu\text{m}$  in diameter to give good flow properties because small particles with a diameter of less than  $10\mu\text{m}$  may become coated on the wall of the delivery device and have poor flow and entrainment properties leading to poor dose uniformity.

There are, however, problems associated with the addition of carrier particles to the active particles in the dry powder, for example problems related to the efficient release of the active particles from the surfaces of the carrier particles on inhalation. Furthermore, in some cases it is preferred for no carrier particles to be present in the powder administered.

In known dry powder inhaler devices, doses of powder containing only active particles are dispensed. The powder contains no carrier particles or other additives and the amount of powder in each dose is small, usually less than  $1\text{mg}$ . The volume of the dose may be, for example, approximately  $6.5\mu\text{l}$ .

Problems involved in dispensing a powder containing only particles of active material include

(i) formation of stable agglomerates of the small particles which often are not broken down into individual particles in the airstream when the particles are inhaled and are, therefore, less likely to reach the lower lung on inhalation of the powder than the fine individual active particles;

(ii) variations in the amount of powder metered from a reservoir of the inhalation device due to poor flow properties of the powder and inconsistent agglomeration, leading to inconsistency in the size of dose, which may vary as much as  $\pm 50\%$  compared with the nominal dose for the device;

(iii) incomplete removal of the dose from the device due to adherence of the particles

- 4 -

to the walls of the device, leading to poor dose reproducibility.

An object of the present invention is to provide a dry powder for use in dry powder inhalers which overcomes or mitigates at least one of the above disadvantages.

According to the invention, there is provided a powder for use in a dry powder inhaler, the powder comprising active material and additive material, the additive material comprising an anti-adherent material and the powder including at least 60% by weight of active material based on the weight of the powder.

A purpose of the additive material is to hinder the formation of stable agglomerates of the active material in the powder. As indicated above, stable agglomeration of the active particles with the known powders may lead to decreased deposition of the active material in the lower lung, together with poor dose uniformity. That is because, when the small active particles agglomerate, the agglomerates which are formed may have a diameter of 100 $\mu$ m or more. If those agglomerates do not break up when the powder is inhaled, they are unlikely to reach the lower lung due to their size.

The addition of the anti-adherent material decreases the cohesion between the particles of the powder containing the active material. It is thought that the additive material interferes with the weak bonding forces, such as Van der Waal's and Coulomb forces, between the small particles, helping to keep the particles separated and may be thought of as weak links or "chain breakers" between the particles. Adhesion of the particles to the walls of the device is also reduced. Where agglomerates of particles are formed, the addition of the additive material decreases the stability of those agglomerates so that they are more likely to break up in the turbulent airstream created on inhalation to form small individual particles which are likely to reach the lower lung.

- 5 -

The reduced tendency of the particles to bond strongly either to each other or to the device itself, reduces powder cohesion and adhesion and promotes better flow characteristics which leads to improvements in the dose reproducibility by reducing the variation in the amount of powder metered out for each dose and improving the release of the powder from the device as well as increasing the likelihood that the active material which does leave the device will reach the lower lung of the patient.

It is thought that it is favourable for unstable agglomerates of particles to be present in the powder when it is in the inhaler device. As indicated above, for a powder to leave an inhaler device efficiently and reproducibly, the particles of such a powder should be large, preferably larger than  $45\mu\text{m}$ . Such a powder may be in the form of either individual particles having a size of  $45\mu\text{m}$  or larger and/or agglomerates of finer particles, the agglomerates having a size of  $45\mu\text{m}$  or larger. The agglomerates formed can have a size of as much as  $100\mu\text{m}$  and, with the addition of the additive material, those agglomerates are more likely to be broken down efficiently in the turbulent airstream created on inhalation. Therefore the formation of unstable agglomerates of particles in the powder may be favoured compared with a powder in which there is substantially no agglomeration.

The reduction in the cohesion and adhesion between the active particles could lead to equivalent performance with reduced agglomerate size, or even with individual particles.

Where reference is made to anti-adherent materials, the reference is to include those materials which will decrease the cohesion between the particles of the powder. Those materials will include those usually thought of as anti-adherent materials, for example leucine, as well as others, for example, lecithin, which



- 6 -

are not generally thought of as being anti-adherent but may nonetheless have the effect of decreasing the cohesion between the particles of the powder. Other materials commonly added to powders for use in inhalers, 5 for example lactose and various other carrier particle materials, are not anti-adherent materials per se but might be added to a powder in addition to a suitable anti-adherent material, for example leucine as indicated below.

10 Furthermore, many materials are not suitable anti-adherent materials because they are "sticky" and tend to increase cohesion between particles. For example, fatty acids, increase stickiness in powders and are thought to be unsuitable as the additive material. Also, other 15 materials such as sorbitan esters (for example SPAN 85) and cyclo dextrans are not suitable anti-adherent materials.

It is possible that materials which are anti-adherent for one type of active material, will not be 20 anti-adherent for a different type. A suitable test to determine whether or not an additive material is anti-adherent is as follows.

The "Aeroflow" apparatus of Amherst Process Instruments Incorporated of Mountain Farms Technology Park, 25 Hadley, MA 01035-9547 U.S.A., is used to assess whether a material is anti-adherent.

The Aeroflow apparatus is used to measure the flow properties of powders. A sample of powder is placed in a perspex cylinder which is rotated at a speed of about 30 5 rpm about a horizontal axis. As the cylinder rotates, the powder will tend to form a pile of powder which extends around the inner surface of the cylinder as powder material is carried round by the rotating cylinder. When the height of the pile reaches a certain 35 level, powder material from the top of the pile avalanches down towards the bottom of the pile. Thus as the cylinder rotates, the powder will avalanche at a

- 7 -

frequency dependent on the properties of the powder. For a freely flowing powder material, the time between avalanches will be low whereas for a cohesive material, the time between avalanches will be great.

5       The general procedure for the test is as follows:

      (a) A powder for testing is made by mixing together active material and additive material as described in (i) or (ii) below to form a powder containing the concentration by weight of additive material of the  
10 powder to be tested. The particles of the powder are agglomerated by mixing the particles for 10 minutes at a relative humidity of 55% in a tumbling blender, preferably a Turbula mixer.

      (i) Where the additive material is in the form of  
15 particles, blend the active and additive materials together,

      (ii) where the additive material is to form a coating on the surfaces of the active particles as described below, the additive material is added to the  
20 active particles from suspension or from solution and the resulting powder is dried and divided.

      (b) A 200g sample of the powder obtained in (a) above is put into the Aeroflow apparatus and the mean time between avalanches is measured as the cylinder is  
25 rotated.

      (c) (b) above is repeated for a sample of active material which has been prepared as in (a) above except that no additive material is added.

      For a material which is to be taken as an anti-  
30 adherent material for the purposes of this invention, the mean time between avalanches will be lower for the material containing the additive material, indicating improved flow properties and less cohesion.

      For additive materials comprising a fatty acid, the  
35 time between avalanches is greater when the fatty acid has been added to the material. Thus fatty acids are unsuitable for use as the anti-adherent material.

      Where it is indicated that a material is not anti-

- 8 -

adherent, that material might be added to the active material, for example as a diluent, provided that a suitable anti-adherent additive material is also added such that the resulting effect of the additive material and the diluent is anti-adherent. Where further components other than the active material and the anti-adherent material are included in the powder, advantageously the complete powder also "passes" the above test in that the combined effect of all of the components added to the active material is that of an anti-adherent material.

Advantageously, the powder comprises at least 70%, more preferably at least 80% by weight of active material based on the weight of the powder. Most advantageously, the powder comprises at least 90%, more preferably at least 95%, more preferably at least 97%, by weight of active material based on the weight of the powder. It is believed that there are physiological benefits in introducing as little powder as possible to the lungs, in particular material other than the active ingredient to be administered to the patient. Therefore, the quantities in which the additive material is added are preferably as small as possible. The most preferred powder, therefore, would comprise more than 99% by weight of active material.

Advantageously, at least 90% by weight of the particles of the powder have a particle size less than  $63\mu\text{m}$ , preferably less than  $30\mu\text{m}$  and more preferably less than  $10\mu\text{m}$ . As indicated above, the size of the particles of the powder should be within the range of about from  $0.1\mu\text{m}$  to  $5\mu\text{m}$  for effective delivery to the lower lung. Where the additive material is in the form of particles of material, as is described below, it may be advantageous for particles of the additive material to have a size outside the preferred range for delivery to the lower lung.

As indicated above, in some cases it will be

- 9 -

preferred for the particles to be in the form of agglomerates in the powder. In such cases, the particle sizes indicated above are those of the individual particles making up the agglomerates.

- 5 It will be appreciated that the chemical composition of the additive material is of particular importance.

- Advantageously, the additive material comprises physiologically acceptable material. Clearly, it is  
10 highly preferable for the additive material to be a material which may be safely inhaled into the lower lung, where it would usually be absorbed into the blood stream. The additive material should therefore be one which is safe to administer by inhalation. The additive material  
15 may include a combination of one or more materials.

- Advantageously, the additive material includes one or more compounds selected from amino acids and derivatives thereof, and peptides and polypeptides having a molecular weight of between about 0.25 to 1000  
20 kDa, and derivatives thereof. Amino acids, peptides and polypeptides and their derivatives are physiologically acceptable and act as anti-adherent materials when added to the active material. It is particularly advantageous for the additive material to comprise an amino acid.  
25 Amino acids have been found to give, when present as additive material, high respirable fraction of the active material and also good flow properties of the powder. A preferred amino acid is leucine, in particular L-leucine. Whilst the L-form of the amino acids is preferred in the  
30 Examples, the D- and DL- forms may also be used. The additive material may comprise one or more of any of the following amino acids: leucine, isoleucine, lysine, valine, methionine, cysteine, phenylalanine.

- As indicated above, the additive material may  
35 include derivatives of amino acids or peptides. For example the additive material may be a salt or an ester, for example aspartame, or may be N acetyl-L cysteine.

- 10 -

The additive material may comprise salts such as acesulfame K or other sweeteners, for example saccharin sodium or a cyclamate.

The additive material may include one or more water  
5 soluble compounds. Those compounds, if they penetrate into the deep lung may therefore be absorbed into the blood stream, which is advantageous.

The additive material may include one or more surface active materials which may be water soluble, for  
10 example, lecithin, in particular soya lecithin. Lecithin is not an especially preferred additive material because it is thought that at least in some cases it could give increased cohesion in the powder material.

The additive material may include dipolar ions  
15 which may be zwitterions.

Advantageously, the additive material includes a glidant material. A glidant material is one that will decrease the resistance to sliding of the particles. The addition of a glidant material, therefore, will lead to  
20 improved release of the powder from the inhaler device and therefore better dose uniformity. The glidant materials which have this effect will include those usually thought of as glidants as well as those not usually thought of as glidants but which have a glidant  
25 effect when added to the active material. Many of the anti-adherent materials described above are also glidants. The glidant material may, therefore, be the same compound as that of the anti-adherent material, or may be a different compound or a mixture of compounds.

30 The active material referred to throughout the specification will be material comprising one or a mixture of pharmaceutical products. It will be understood that the term "active material" includes material which is biologically active, in the sense that  
35 it is able to decrease or increase the rate of a process in a biological environment. The pharmaceutical products include those products which are usually administered orally by inhalation for the treatment of disease such as

- 11 -

respiratory disease e.g.  $\beta$ -agonists, salbutamol and its salts or salmeterol and its salts. Other pharmaceutical products which could be administered using a dry powder inhaler include peptides and polypeptides, such as DNase, leucotrienes and insulin.

The active material may include a  $\beta_2$ -agonist, which may include salbutamol, a salt of salbutamol or a combination thereof. Salbutamol and its salts are widely used in the treatment of respiratory disease. The active material may be salbutamol sulphate. The active material may be terbutaline, a salt of terbutaline, for example terbutaline sulphate, or a combination thereof. Terbutaline sulphate is of particular importance. The active material may be ipatropium bromide.

The active material may include a steroid, which may be beclomethasone dipropionate or may be fluticasone. The active material may include a cromone which may be sodium cromoglycate or nedocromil or its salts. The active material may include a leukotriene receptor antagonist.

The active material may include a carbohydrate, for example heparin.

Advantageously, the powder comprises particles of active material and particles of additive material. Where particles of additive material are used, by choosing a particular size of the additive particles, as described below, the amount of additive material entering the lower lung may be minimised. Also, it may be preferable for the additive to be present in the powder as particles rather than, for example, a coating around the particles of active material which may hinder the absorption of the active material into the blood stream.

Advantageously, at least 90% by weight of the additive particles have a particle size less than  $63\mu\text{m}$ , preferably, less than  $30\mu\text{m}$ , and more preferably less than  $10\mu\text{m}$ . The additive particles will usually have a particle size slightly larger than the particle size of

- 12 -

the active particles to encourage deposition of the additive particles in the upper airways. To restrict the amount of the additive material penetrating to the deep lung on inhalation, it is advantageous to include  
5 additive particles having a size greater than  $5\mu\text{m}$ . The size of the particles may be calculated by laser diffraction or other method by which the aerodynamic diameter of the particles can be determined.

The additive particles may be non-spherical in  
10 shape. The additive particles may be plate-like particles, for example leucine particles. Alternatively the additive particles may be angular, for example prisms, or dendritic in shape, for example aspartame particles. Plate-like particles may give improved  
15 surface interaction and glidant action between the surfaces of the active particles thereby decreasing bonding between the active particles and reducing stable agglomeration.

Alternatively, for example where the nature of the  
20 additive material is such that small particles are not easily formed, or for clinical reasons, the additive material may form at least a partial coating on the surfaces of particles of the active material. It is found that even when a large amount of the additive  
25 material is added to the active material, there is no "coating" of the active particles in the sense in which that word would normally be used in the art, namely to refer to a continuous envelope around the active particle. Instead, a discontinuous covering is formed  
30 on the active particle. It is believed that the presence of such a discontinuous covering, as opposed to a "coating" is an important and advantageous feature of the present invention.

Additive material may be present in the powder both  
35 in the form of small particles and in the form of a coating on the surfaces of the particles of active material.

- 13 -

Where the additive material is to form a coating on the surfaces of the particles of active material, the additive material may be added to the active material from a suspension or from solution. The additive  
5 material may be added to the active material by co-crystallisation, co-spray drying, co-granulation or other similar method.

Where the additive is in the form of particles, the powder may be produced by, for example, blending together  
10 micronised active material and micronised additive material. Alternatively, the components of the powder may be micronised together to form the powder material.

The ratio in which the additive material and the active material are present in the powder will depend on  
15 the type of inhaler device used, the type of active material used and the required dose. Usually, the powder comprises at least 0.1% by weight of additive material based on the weight of the powder. The powder preferably comprises between about 0.1% and 40%, more preferably  
20 between about 0.25% and 5% by weight of additive material based on the weight of the active material.

It has been found that the addition of more additive material does not necessarily give a greater improvement in the properties of the resulting powder. For example,  
25 in the case where the additive material is leucine as in Example 8 below, the addition of 1% by weight of leucine gives good results, but the addition of 5% or 10% by weight of leucine does not give better results, indeed the respirable fraction is seen to decrease with  
30 increased addition of leucine.

Furthermore, because the additive material will in many cases be inhaled into the lung, it is preferable for only a small amount of additive material to be added.

The optimum amount of additive material in the  
35 powder will depend on the active material and additive material used. Advantageously, the powder comprises not more than 8% by weight, preferably not more than 5% by



- 14 -

weight, of additive material. In some cases it will be advantageous for the powder to contain about 1% by weight of additive material.

- Advantageously, at least 95% by weight of the active particles have a particle size less than 10 $\mu$ m. Preferably, at least 95% by weight of the active particles have a particle size between about 0.1 $\mu$ m and 10 $\mu$ m, more preferably between about 0.1 $\mu$ m and 5 $\mu$ m. The particles will therefore give a good suspension on release from the inhaler device and delivery of the active particles deep into the respiratory tract. The size of the particles may be calculated as described above in respect of the additive particles.

- The powder may also contain, for example, flavourings and colourant materials and may also contain diluents. Advantageously the powder includes less than 20% preferably less than 10%, more preferably less than 1%, by weight of constituents other than the active material and the anti-adherent material.

- According to the invention, there is also provided a powder for use in a dry powder inhaler the powder comprising active particles and additive material, at least 90% by weight of the powder particles having a particle size of less than 63 $\mu$ m, the powder including at least 60% by weight of active particles based on the weight of the powder.

- Advantageously, at least 90% by weight of the powder particles have a particle size of less than 30 $\mu$ m, preferably less than about 10 $\mu$ m. Advantageously, the powder includes at least 80%, preferably at least 90% by weight of active particles based on the weight of the powder.

- Advantageously, the powder includes not more than 8%, more advantageously not more than 5% by weight of additive material based on the weight of the powder. As indicated above, in some cases it will be advantageous for the powder to contain about 1% by weight of additive

- 15 -

material.

As indicated above, the additive material may be in the form of particles.

The invention also provides, a powder for use in a  
5 dry powder inhaler, the powder comprising active particles and additive material, the additive material forming at least a partial coating on the surfaces of the particles of active material, the powder including at least 60% by weight of active material based on the  
10 weight of the powder, at least 90% by weight of the particles of the powder having a particle size less than 63 $\mu$ m.

Advantageously, the powder includes at least 80%, preferably at least 90% by weight of active material  
15 based on the weight of the powder.

According to the invention, there is also provided a dry powder inhaler including a powder as described above.

Advantageously, the inhaler may be activated to dispense a dose of less than 10mg of the powder,  
20 preferably not more than 5mg, more preferably not more than 1mg. Obviously, the size of the dose will depend on the active material to be delivered and the inhaler device used.

The invention also provides a dose of powder, the  
25 dose containing not more than 5mg of powder described above, more preferably not more than 1mg of the powder.

The invention also provides the use of an anti-adherent additive material in a powder for use in a dry powder inhaler, for improving the flow characteristics of  
30 the powder, the powder comprising at least 60% by weight of active material based on the weight of the powder. The test to assess whether a material is an anti-adherent material is indicated above.

Unless it is clear from the context otherwise, where  
35 reference is made to a range of sizes of particles, and to the size of particles, it is to mean that the majority of the relevant particles are within that range or are of

- 16 -

that size. Preferably at least about 90% by weight of the relevant particles will be in that range or be of that size, more preferably at least 95% by weight.

The size of particles may, where appropriate be selected and/or measured using a sieving method. Otherwise, the size of the particles may be determined using laser light diffraction, or other method in which the aerodynamic diameter of the particles may be determined, for example microscopic image analysis.

One of the objects of the invention is to hinder the formation of stable agglomerates of particles, especially active particles, in the powder. However, as described above, it may be desirable for unstable agglomerates to be formed in the powder, and the size of those agglomerates may be as large as 100 $\mu$ m or more. The size of particles in the powder, when considering the agglomerates, is to be taken as the size of the individual particles making up the agglomerate. The sizes of the individual particles may be determined using microscopic image analysis.

Embodiments of the invention will now be described by way of example with reference to the accompanying drawings of which:

Figure 1 shows a sectional view of a dry powder inhaler

Figure 2 is a sectional diagram of a twin stage impinger.

Figure 1 shows a view of a dry powder inhaler device known as a Turbohaler (Trade Mark). The Turbohaler is a breath actuated inhaler which may be used to meter out and deliver small quantities of dry powder. The mass of powder delivered for each inhalation is often less than 1mg.

As shown in Figure 1, the Turbohaler comprises an outer cylindrical body 2 which has a mouthpiece 3 around one end and a rotatable base 4 at the other end. The body 2 houses a storage chamber 5 for storing the dry

- 17 -

powder to be dispensed, and a dosing disc 6 under the storage chamber. The dosing disc 6 includes a number of identical cavities around its edge.

Rotation of the base 4 causes rotation of the disc 6 and the cavities pass under the storage chamber 5 and are filled with a volume of the dry powder material. Forcible filling of the cavities, in an attempt to reduce variability in the amount of powder filled into the cavities, is achieved by the provision of scrapers above the cavities and a pressure plate below the dosing disc urging the disc 6 towards the storage chamber 5. The base 4 is rotated backwards and forwards to dispense the powder into the cavities.

Rotation of the disc 6 also brings successive cavities in and out of communication with a channel 8 which leads from the disc 6 to the mouthpiece 3.

To administer the powder, a filled cavity is brought into alignment with the channel 8 and a patient inhales through the mouthpiece 3. Air is drawn into the body via an inlet 7 (and other inlets) and the air passes through a hole in the pressure plate and through holes in the bottom of the cavity thereby discharging the contents of the cavity into the channel 8. The powder is inhaled via the mouthpiece 3.

To increase the turbulent airflow in the device, to help break up any agglomerates of powder, the device includes other inlets in the body 2. The mouthpiece includes channels 9 to increase turbulence.

The storage chamber usually has the capacity to hold approximately 200 doses of the powder and, when empty, may be refilled or disposed of.

Examples of suitable powders according to the invention, which may be used in a Turbohaler are as follows. Whilst the Examples refer to use of the powders in a Turbohaler, powders according to the invention may instead be used in other suitable devices, for example, a MIAT-Haler.

- 18 -

Example 1

2g leucine powder was mixed with 198g terbutaline sulphate powder in a Turbula mixer for approximately 15 minutes. Before mixing, the particles of the terbutaline sulphate had a mass median aerodynamic diameter (MMAD) of 2.1 $\mu$ m, and 95% by weight of the leucine powder had a particle size less than 150 $\mu$ m (at least 95% by weight passes through a 150 $\mu$ m mesh sieve).

The resulting powder was agglomerated using a milling procedure. 50g samples of the powder were milled in a porcelain ball mill (manufactured by Pascall Engineering Company) having a diameter of approximately 150mm, using steel grinding balls. The milling was continued for about 6 hours. The agglomerated powder was filled into a Turbohaler in a known way.

Each metered dose for inhalation from the Turbohaler contained approximately:

500 $\mu$ g	terbutaline sulphate
5 $\mu$ g	leucine.

An approximate value for the volume of the metered dose might be 6.5 $\mu$ l.

Example 2

2g leucine powder was mixed with 198g terbutaline sulphate powder as described in Example 1. The powder mixture was filled into a Turbo-haler.

Each metered dose for inhalation from the Turbohaler contained approximately

500 $\mu$ g	terbutaline sulphate
5 $\mu$ g	leucine

An approximate value for the volume of the metered dose might be 6.5 $\mu$ l.

Example 3

4g of leucine powder was mixed with 196g terbutaline sulphate powder as described above for Example 1. The resulting powder was agglomerated using a milling

- 19 -

procedure as described for Example 1 and filled into a Turbohaler.

Each metered dose for inhalation from the Turbohaler contained approximately

5	500µg	terbutaline sulphate
	10µg	leucine

#### Example 4

1g soy lecithin (95% by weight of particles less than 710µm) was dissolved in 10g water and 10g IMS (or in 20g 95% ethanol) and added to 199g terbutaline sulphate powder (MMAD 2.1µm) in a high shear mixer. The mixture was blended for four minutes and then dried on trays at 40°C for 6 hours. The powder was screened through a 500µm sieve then milled in a ball mill using steel balls, as described for Example 1, for six hours to cause agglomeration. The agglomerated powder was filled into a Turbohaler.

Each metered dose for inhalation from the Turbohaler contained approximately

20	500µg	terbutaline sulphate
	2.5µg	soy lecithin

#### Example 5

Agglomerated powder was prepared as for Example 3 above except that 4g soy lecithin (95% by weight of particles less than 710µm) was dissolved in 10g water and 10g IMS and added to 196g terbutaline sulphate powder (MMAD 2.1µm). The agglomerated powder was filled into a Turbohaler.

Each metered dose for inhalation from the Turbohaler contained approximately

500µg	terbutaline sulphate
10µg	soy lecithin

#### Example 6

1g solid state soy lecithin having 95% by weight of

- 20 -

particles having a size less than 100 $\mu$ m were added to 199g terbutaline sulphate (MMAD 2.1 $\mu$ m) and mixed in a Turbula mixer for approximately 15 minutes. The resulting powder was agglomerated by ball milling as described in Example 1. The agglomerated powder was filled into a Turbohaler.

Each metered dose for inhalation from the Turbohaler contained approximately

500 $\mu$ g	terbutaline sulphate
2.5 $\mu$ g	soy lecithin

#### Example 7

A powder for inhalation using a Turbohaler was prepared by mixing 199g budesonide and 1g L-leucine as described above for Example 1. The powder was agglomerated as described for Example 1 and filled into the Turbohaler in a known way.

Each metered dose for inhalation from the Turbohaler contained approximately:

100 $\mu$ g	budesonide
0.5 $\mu$ g	L-leucine

It will be understood that the Turbohaler device described above is only an example of a dry powder inhaler device which may be used to dispense powder according to the invention, and that different dry powder inhaler devices may be used.

The efficiency of the delivery of the active particles to the lungs of a patient by the inhaler device, and the dose reproducibility achieved, may be assessed using a twin stage impinger (TSI) as described below.

Figure 2 shows a diagrammatic arrangement of a TSI. The TSI is a two stage separation device used in the assessment of oral inhalation devices. Stage one of the apparatus is shown to the right of the line AB in Figure 2 and is a simulation of the upper respiratory tract. To

- 21 -

the left of that line is stage two which is a simulation of the lower respiratory tract.

The TSI comprises a mouth 21 which comprises a polydimethylsiloxane adaptor, moulded to accept the mouthpiece of the inhaler device, upper tubing 22 and upper impinger 23 to simulate the upper respiratory tract, the upper impinger containing liquid 24, and lower tubing 25 and lower impinger 26 to simulate the lower respiratory tract, the lower impinger containing liquid 27. The lower impinger 26 is connected via an outlet pipe 28 to a pump 29 which draws air through the TSI apparatus at a predetermined rate. The base of the lower tubing 25 is at the level of the liquid 27 such that all the air drawn through the TSI bubbles through the lower liquid 27. The liquid used in both the upper and lower impinger is distilled water.

In use, the inhaler is placed in a mouth 21 of the TSI. Air is caused to flow through the apparatus by means of a pump 29 which is connected to stage two of the TSI. Air is sucked through the apparatus from the mouth 21, flows through upper tubing 22 via the upper impinger 23 and the lower tubing 25 to the lower impinger 26 where it bubbles through liquid 27 and exits the apparatus via outlet pipe 28. The liquid 24 in the upper impinger 23 traps any particle with a size such that it is unable to reach stage two of the TSI. Fine particles, which are the particles able to penetrate to the lungs in the respiratory tract, are able to pass into stage two of the TSI where they flow into the lower impinger liquid 27.

30ml of distilled water is put into the lower impinger 26 and 7ml of distilled water is put into the upper impinger 23. The lower tubing 25 is arranged such that its lower end is at the level of the water in the lower impinger 26. The pump 29 is adjusted to give an air flow rate of 60 litres per minute in the apparatus.

The Turbohaler inhaler device is weighed. The



- 22 -

mouthpiece 3 of the inhaler is connected to the mouth 21 of the TSI, the base 4 is rotated to dispense a dose of powder and the pump is switched on and timed for a period of ten seconds. The pump is then switched off and  
5 the Turbohaler is removed from the TSI, reweighed and the amount of powder lost from the inhaler calculated.

The sections of the apparatus making up stage one of the TSI are washed into a second flask and made up to 250ml with distilled water. The sections making up the  
10 second stage of the TSI are washed into a third flask and made up to 100ml with distilled water.

The test is repeated several times to assess the dose reproducibility.

The amount of active substance in each section of  
15 the TSI is measured for each test. For example, when the active substance is budesonide as for the Examples below, the following method may be used.

The contents of the flasks containing the washing from the stages of the TSI are assayed using High  
20 Performance Liquid Chromatography (HPLC) analysis for the content of budesonide and compared against standard solutions containing 0.5µg/ml and 1µg/ml of budesonide.

The percentage of budesonide in each stage of TSI is calculated from the standard response for each test and  
25 the mean for the tests may be calculated to give an indication of the proportion of the active particles reaching the second stage of the TSI apparatus and therefore an indication of the proportion of active substance which would reach the lower lung of a patient.

30 The variation in the measured values for the tests gives an indication of the dose reproducibility for the inhaler and the dry powder used.

#### Example 8

Micronised budesonide was blended with micronised  
35 L-leucine to produce a powder by the following method.

Budesonide and L-leucine were mixed to give a

- 23 -

concentration of 1% by weight of leucine and the mixture was blended in a Turbula mixer for up to 30 minutes. The blend was passed through a 355 $\mu$ m aperture diameter sieve to improve mixing and to break up stable agglomerates to produce a powder having loose agglomerates of particles.

The resulting powder was weighed and filled into a Turbohaler inhaler device such that each actuation of the device dispensed about 200 $\mu$ g of powder.

The above method was repeated to produce powders having 5% by weight of leucine and 10% by weight of leucine.

The efficiency of the delivery of the active material for the powders by the inhaler was then assessed using the TSI as described above.

Table 1 below shows the results of the TSI testing for each of the different percent by weight of leucine. The respirable fraction is calculated as the percentage of the total amount of drug emitted from the device that reaches stage two of the TSI and gives an indication of the proportion of active particles which would reach the deep lung in a patient. The standard deviation and the coefficient of variation are also given.

Table 1

	1% leucine	5% leucine	10% leucine
Respirable fraction (%)	67.3	59.1	54.9
Standard deviation (%)	2.2	6.8	4.8
Coefficient of variation (%)	3.3	11.6	8.7

Where no leucine is added to the active powder, the respirable fraction is about 55%.

In addition, it will be seen that the coefficient of variation is low, especially for the powder containing 1% by weight of leucine indicating good reproducibility

- 24 -

of the results (corresponding to improved dose uniformity of the administered drug). This indicates that the dose uniformity is also significantly better than for the currently commercially available Turbohaler product in which the powder composition does not contain the leucine additive material.

Example 9

A powder was made by the method of Example 8, by blending micronised budesonide and 5% by weight of micronised L-leucine and 15% by weight of Sorbolac (a lactose powder having a particle size less than 63µm of Meggle Milchindustrie, Reitmehring Germany).

The resulting powder was assessed using the TSI.

Table 2 below shows the results of the TSI testing including the respirable fraction, the standard deviation and the coefficient of variation.

Table 2

	5% leucine and 15% lactose
Respirable fraction (%)	74.0
Standard deviation (%)	3.1
Coefficient of variation (%)	4.2

It can be seen that the addition of the lactose diluent significantly increased the respirable fraction and improved the dose uniformity.

Claims

1. A powder for use in a dry powder inhaler, the powder comprising active material and additive material, the additive material comprising an anti-adherent material
- 5 and the powder including at least 60% by weight of active material based on the weight of the powder.
2. A powder according to claim 1, wherein the powder comprises at least 80% by weight of active material based on the weight of the powder.
- 10 3. A powder according to claim 1 or claim 2, wherein at least 90% by weight of the particles of the powder have a particle size less than 63 $\mu$ m.
4. A powder according to claim 3, wherein at least 90% by weight of the particles of the powder have a particle
- 15 size less than 10 $\mu$ m.
5. A powder according to any preceding claim, wherein the additive material includes one or more compounds selected from amino acids and derivatives thereof, and peptides and polypeptides having a molecular weight of
- 20 between about 0.25 to 1000 kDa, and derivatives thereof.
6. A powder according to claim 5, wherein the additive material includes leucine.
7. A powder according to any preceding claim, wherein the additive material includes one or more water soluble
- 25 compounds.
8. A powder according to any preceding claim, wherein the additive material includes dipolar ions.
9. A powder according to claim 8, wherein the additive material includes zwitterions.
- 30 10. A powder according to any preceding claim wherein the additive material includes a glidant material.
11. A powder according to any preceding claim, wherein the active material includes a  $\beta_2$ -agonist.
12. A powder according to any preceding claim, wherein
- 35 the powder comprises particles of active material and particles of additive material.

- 26 -

13. A powder according to claim 12, wherein at least 90% by weight of the additive particles have a particle size less than  $63\mu\text{m}$ .
14. A powder according to claim 13, wherein at least 90% by weight of the additive particles have a particle size less than  $10\mu\text{m}$ .
15. A powder according to any of claims 1 to 14, wherein the additive material forms at least a partial coating on the surfaces of particles of the active material.
- 10 16. A powder according to any preceding claim, wherein the powder comprises at least 0.1% by weight of additive material based on the weight of the powder.
17. A powder according to any preceding claim, wherein the powder comprises not more than 10% by weight of additive material based on the weight of the powder.
- 15 18. A powder according to any preceding claim, wherein the powder comprises not more than 5% by weight of additive material based on the weight of the powder.
19. A powder according to any preceding claim, wherein at least 95% by weight of the active particles have a particle size less than  $10\mu\text{m}$ .
- 20 20. A powder according to claim 19, wherein at least 95% by weight of the active particles have a particle size between about  $0.1\mu\text{m}$  and  $5\mu\text{m}$ .
- 25 21. A powder according to any preceding claim, wherein the powder includes less than 20% by weight of constituents other than the active material and the anti-adherent material.
22. A powder for use in a dry powder inhaler the powder comprising active particles and additive material, at least 90% by weight of the powder particles having a particle size of less than  $63\mu\text{m}$ , the powder including at least 60% by weight of active particles based on the weight of the powder.
- 30 23. A powder according to claim 22, wherein at least 90% by weight of the powder particles have a particle size of less than  $30\mu\text{m}$ .

- 27 -

24. A powder according to claim 22 or claim 23, wherein the powder includes at least 80% by weight of active particles based on the weight of the powder.
25. A powder according to any of claims 22 to 24,
- 5 wherein the powder includes not more than 5% by weight of additive material based on the weight of the powder.
26. A powder according to any of claims 22 to 25, wherein the additive material is in the form of particles.
- 10 27. A powder for use in a dry powder inhaler, the powder comprising active particles and additive material, the additive material forming at least a partial coating on the surfaces of the particles of active material, the powder including at least 60% by weight of active
- 15 material based on the weight of the powder, at least 90% by weight of the particles of the powder having a particle size less than 63 $\mu$ m.
28. A powder according to claim 27, wherein the powder includes at least 80% by weight of active material based
- 20 on the weight of the powder.
29. A powder for use in a dry powder inhaler the powder being substantially as herein described.
30. A dry powder inhaler including a powder according to any of claims 1 to 29.
- 25 31. A dry powder inhaler containing powder substantially as herein described having reference to Figure 1.
32. A dry powder inhaler according to claim 30 or claim 31, wherein the inhaler may be activated to dispense a dose of less than 10mg of the powder.
- 30 33. A dry powder inhaler according to claim 32, wherein the dose contains not more than 1mg of the powder.
34. A dose of powder, the dose containing not more than 5mg of powder according to any of claims 1 to 29.
35. A dose of powder according to claim 34, the dose
- 35 containing not more than 1mg of powder according to any of claims 1 to 29.
36. Use of an anti-adherent material in a powder for use

- 28 -

in a dry powder inhaler, for improving the flow characteristics of the powder, the powder comprising at least 60% by weight of active material based on the weight of the powder.

1/1

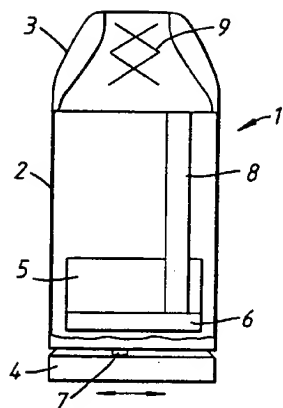


Fig. 1

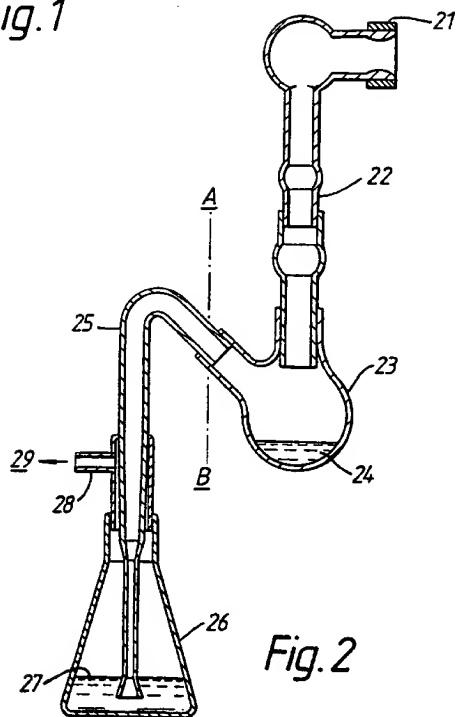


Fig. 2



# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/GB 96/01783

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6 A61K9/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	ZA,A,9 400 155 (ADCOCK INGRAM) 11 July 1995  see page 4, line 17 - page 5, line 4 see page 6, line 7 - line 10 ---	1,2,5, 10-12, 16-18, 21,30-36
X	WO,A,95 00127 (ASTRA AKTIEBOLAG) 5 January 1995  see page 2, line 21 - page 3, line 24 see page 4, line 13 - page 5, line 4 see page 8, line 26 - page 9, line 4 see page 10, line 29 - page 11, line 5 -----	1-4,7, 12-14, 19-24, 26,30-36
<input type="checkbox"/> Further documents are listed in the continuation of box C.		
<input checked="" type="checkbox"/> Patent family members are listed in annex.		
<b>* Special categories of cited documents:</b>		
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family		
Date of the actual completion of the international search  29 October 1996		Date of mailing of the international search report  12. 11. 96
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  Ventura Amat, A

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Int'l Application No

PCT/GB 96/01783

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
ZA-A-9400155	11-07-95	NONE	
WO-A-9500127	05-01-95	AU-A- 7090194	17-01-95
		AU-A- 7090294	17-01-95
		BR-A- 9406907	02-04-96
		BR-A- 9406908	02-04-96
		CN-A- 1127471	24-07-96
		CZ-A- 9503393	15-05-96
		CZ-A- 9503428	15-05-96
		EP-A- 0706382	17-04-96
		EP-A- 0706383	17-04-96
		FI-A- 956227	22-12-95
		FI-A- 956228	22-12-95
		LT-A,B 1976	25-05-95
		LT-A,B 1977	31-01-95
		NO-A- 955226	15-02-96
		NO-A- 955227	20-02-96
		PL-A- 312205	01-04-96
		PL-A- 312210	01-04-96
		WO-A- 9500128	05-01-95
		US-A- 5506203	09-04-96
		US-A- 5518998	21-05-96
		ZA-A- 9404378	24-03-95
		ZA-A- 9404379	24-03-95
		SE-A- 9400370	05-08-95